# Spark Parameter Tuning via Trial-and-Error

Panagiotis Petridis<sup>1</sup>, Anastasios Gounaris<sup>1</sup>, and Jordi Torres<sup>2</sup>

<sup>1</sup> Dept. of Informatics, Aristotle University of Thessaloniki, Greece {ppetridis,gounaria}@csd.auth.gr

<sup>2</sup> Computer Architecture Dept., Technical University of Catalonia, Spain torres@ac.upc.edu

Abstract. Spark has been established as an attractive platform for big data analysis, since it manages to hide most of the complexities related to parallelism, fault tolerance and cluster setting from developers. However, this comes at the expense of having over 150 configurable parameters, the impact of which cannot be exhaustively examined due to the exponential amount of their combinations. In this work, we investigate the impact of the most important of the tunable Spark parameters on the application performance and guide developers on how to proceed to changes to the default values. We conduct a series of experiments and we offer a trialand-error methodology for tuning parameters in arbitrary applications based on evidence from a very small number of experimental runs. We test our methodology in three case studies, where we manage to achieve speedups of more than 10 times.

### 1 Introduction

Spark [9, 10] has emerged as one of the most widely used frameworks for massively parallel data analytics. In summary, it improves upon Hadoop MapReduce in terms of flexibility in the programming model and performance [6], especially for iterative applications. It can accommodate both batch and streaming applications, while providing interfaces to other established big data technologies, especially regarding storage, such as HDFS and NoSQL databases. Its key feature is that it manages to hide the complexities related to parallelism, fault-tolerance and cluster setting from end users and application developers. To support all these, Spark execution engine has been evolved to an efficient albeit complex system with more than 150 configurable parameters. The default values are usually sufficient for a Spark program to run, e.g., not to run out of memory without having the option to spill data on the disk and thus crash. But this gives rise to the following research question: "Can the default configuration be improved?"

The aim of this work is to answer the above question in an efficient manner. Clearly, it is practically impossible to check all the different combinations of parameter values for all tunable parameters. Therefore, tuning arbitrary Spark applications by inexpensively navigating through the vast search space of all possible configurations in a principled manner is a challenging task. Very few research endeavors focus on issues related to understanding the performance of Spark applications and the role of tunable parameters [4, 1, 7]. For the latter, Spark's official configuration guides and tutorial book [3] provide a valuable asset in understanding the role of every single parameter.

Understanding the role of a parameter does not necessarily mean that the impact of each parameter on the performance of arbitrary applications is understood as well. Moreover, such an understanding does not imply that tuning is straightforward. An added complexity stems from the fact that most parameters are correlated and the impact of parameters may vary from application to application and it will also vary from cluster to cluster. In this work, we experiment with the MareNostrum petascale supercomputer at the Barcelona Supercomputing Center. After configuring the cluster in an application-independent way according to the results in [7], we examine the impact of configurable parameters on a range of applications and derive a simple trial-and-error tuning methodology that can be applied to each application separately. We test our methodology using three case studies with particularly encouraging results.

The summary of our contributions is as follows. (i) We provide an overview of the known results to date on configuring Spark applications. (ii) We identify the most important parameters in terms of their potential impact on performance and we test them on the Marenostrum petascale supercomputer. The number of these parameters is 12. (iii) Based on our results, we propose a novel tuning methodology to be applied on an individual application basis (summarized in Fig. 1). The methodology treats applications as black boxes, follows an efficient trial-and-error approach that involves a low number of experimental runs for just 10 different configurations at most, and takes into account the correlation between different parameters. (iv) We evaluate our methodology in practice using three case studies and we show that we can achieve significant speedups (up to more than 10 times). A more extended version is in [5].

#### 2 Overview of Existing Results for Spark Configuration

In this work, we target parameters belonging to the Shuffle Behavior, Compression and Serialization, and Memory Management categories. Note that there are several other parameters belonging to categories such as Application Properties, Execution Behavior and Networking that may affect the performance, but these parameters are typically set at the cluster level, i.e., they are common to all applications running on the same cluster of machines, e.g., as shown in [7]. Next, we summarize the known results to date with regards to Spark configuration.

**Optimization of Spark on MareNostrum.** The work in [7] sheds lights onto the impact of configurations related to parallelism. The main results, which are reused in our work, are summarized as follows. First, the number of cores allocated to each Spark executor has a big impact on performance and should be configured in an application-independent manner. Second, the level of parallelism, i.e., the number of partitions per participating core, plays a significant role. A range of additional aspects, e.g., using Ethernet instead of Infiniband, have been investigated, but their significance was shown to be small. Finally, the type of the underlying file system affects the performance, however, this is a property of the infrastructure rather than a tunable parameter.

Guides from Spark documentation. Spark official documentation presents a summary of tuning guidelines that can be summarized as follows. (i) The type of the serializer is an important configuration parameter. The default option uses Java's framework, but if Kryo library is applicable, it may reduce running times significantly. (ii) The memory allocated to main computations and shuffling and the memory allocated to caching is important. It is stated that "although there are two relevant configurations, the typical user should not need to adjust them as the default values are applicable to most workloads". Memory-related configurations are also related to the performance overhead of garbage collection (GC). (iii) The level of parallelism, i.e., the number of tasks in which each RDD is split needs to be set in a way that the cluster resources are fully utilized. (iv) Data locality, i.e., enforcing the processing to take place where data resides, is important for distributed applications. Apart from the tuning guidelines above, certain other tips are provided by the Spark documentation, such as preferring arrays to hashmaps. Also, similar guidelines are discussed more briefly in [3]. In our work, we explicitly consider the impact of serialization and memory allocation. Tuning the parallelism degree is out of our scope, but we follow the guidelines in [7]. Also, we do not deal with GC and locality-related thresholds, because they have not seemed to play a big role in our experiments, where memory was not a scarce resource. Based on these guidelines, Alpine Data has published online a so-called *cheat-sheet*, which is a tuning guide for system administrators (available from http://techsuppdiva.github.io/spark1.6.html). The guide is tailored to the YARN cluster manager. Compared to our tuning model, it is more complex, and contains checks from logs and tips to modify the application code and setting of configuration parameters per application. By contrast, we focus only on the latter aspect considering each application as a black box requiring significantly fewer comparisons and considering more tuning parameters.

Other sources. In [1], the impact of the input data volume on Spark's applications is investigated. The key parameters identified were related to memory and compression, although their exact impact is not analyzed. By contrast, we examine a superset of these parameters. The work in [8] is similar to [7] in that it discusses the deployment of Spark on a high-performance computing (HPC) system. In the described its evaluation, it identifies also four key Spark parameters along with the application-dependent level of parallelism. All these parameters are included in our discussion.

**Profiling Spark applications and other related work.** A thorough investigation of bottlenecks in Spark is presented in [4]. The findings are also very interesting, since it is claimed that many applications are CPU-bound and memory plays a key role. In our experiments, we perform memory fine-tuning, since we also identify memory management as one of the most important parameters. The work in [2] deals with the issue of parameter optimization in workflows, but may involve far too many experimental runs, whereas we advocate a limited number of configuration runs independently from the application size.

### **3** Parameters of Interest

Navigating through the vast search space is one the biggest challenges in parameter testing and tuning, due to the exponential increase of different configurations in the number of properties and their valid values. Based on evidence from (i) the documentation and the earlier works presented in Sec. 2 and (ii) our own runs (for which we do not present results due to space constraints), we narrow our focus on 12 parameters, the configuration of which needs to be investigated according to each application instance separately. As already explained, the application-independent parameters that need to be specific for a specific data-center and those related to data parallelism are out of our scope. Finally, we do not consider parameters related to YARN or MESOS.

- 1. spark.reducer.maxSizeInFlight: If this value is increased, reducers would request bigger output chunks. This would increase the overall performance but may aggravate the memory requirements. So, in clusters that there is adequate memory and where the application is not very memory-demanding, increasing this parameter could yield better results. On the other hand, if a cluster does not have adequate memory available, reducing the parameter should yield performance improvements.
- 2. spark.shuffle.compress: In general, compressing data before they are transferred over the network is a good idea, provided that the time it takes to compress the data and transfer them is less than the time it takes to transfer them uncompressed. But if transfer times are faster than the CPU processing times, the main bottleneck of the application is shifted to the CPU and the process is not stalled by the amount of data that are transferred over the network but from the time it takes for the system to compress the data. Clearly, the amount of data transmitted during shuffling is application-dependent, and thus this parameter must not be configured to a single value for all applications.
- 3. spark.shuffle.file.buffer: The role of this parameter bears similarities to the spark.shuffle.maxSizeInFlight parameter, i.e., if a cluster has adequate memory, then this value could be increased in order to get higher performance. If not, there might be performance degradation, since too much memory would allocated to buffers.
- 4. spark.shuffle.manager: The available implementations are three: sort, hash , and tungsten-sort. Hash creates too many open files for certain inputs and aggravates memory limitations. However, combining the enabling of the spark.shuffle.consolidateFiles parameter with the Hash manager, may mitigate this problem. Tungsten-sort is reported to yield the highest performance in general provided that certain requirements are met. Overall, there is no clear winner among the shuffle manager options.
- 5. spark.io.compression.codec: Three options are available, namely *snappy*, *lz4*, and *lzf*. Although there are many tests conducted by various authors for the generic case, the best performing codec is application-dependent.
- 6. spark.shuffle.io.preferDirectBufs: In environments where off-heap memory is not tightly limited, this parameter may play a role in performance.

- 7. spark.rdd.compress: The trade-offs with regards to this parameter are similar to those for shuffle compress. However, in this case, the trade-off lies between CPU time and memory.
- 8. **spark.serializer**: In Spark's documentation, it is stated that *KryoSerializer* is thought to perform much better than the default Java Serializer when speed is the main goal. However, the Java serializer is still the default choice, so this parameter needs to be considered.
- 9. spark.shuffle.memoryFraction: If, during shuffling, spills are often, then this value should be increased from its default. Since this parameter is directly linked to the amount of memory that is going to be utilized, it may have a high performance impact. However, any increase is at the expense of the next parameter.
- 10. spark.storage.memoryFraction: Since this parameter is directly linked to the amount of memory that is going to be utilized, it affects the performance.
- 11. spark.shuffle.consolidateFiles: This parameter provides the option of consolidating intermediate files created during a shuffle, so that fewer files are created and performance is increased. It is stated however that, depending on the filesystem, it may cause performance degradation.
- 12. spark.shuffle.spill.compress: As for the previous compression options, a trade-off is involved. If transferring the uncompressed data in an I/O operation is faster than compressing and transferring compressed data, then this option should be set to false. Provided that there is a high amount of spills, this parameter may have an impact on performance.

## 4 Sensitivity Analysis

We employ three benchmark applications: (i) sort-by-key; (ii) shuffling and (iii) k-means. *K-means* and *Sort-by-key* are also part of the HiBench benchmark and where selected because they can be considered as representative of a variety of applications. The *shuffling* application generates the data according to the terasort benchmark, but does not perform any sorting; it just shuffles all the data in order to stress the shuffling component of the system, given that shuffling in known to play a big role in the performance of Spark applications. To avoid the interference of the underlying file system, in all applications, the dataset was generated at the beginning of each run on the fly. The MareNostrum hardware specifications are described in [7]. 20 16-core machines are used, and the average allocated memory per core is 1.5GB. The version of Spark at the time of the experiments was 1.5.2.

For each of the selected parameters, we perform a separate set of tests, and in each test we examine a different value. Then, the performance is compared with the performance of the default configuration after modifying the serializer, as argued below. If there is a big difference between the results, then the parameter can be considered as having an impact on the overall performance.

The parameter values are selected as follows. If the parameter takes a binary value, for instance a parameter that specifies whether to use a feature or not, then

Configuration	Sort-by-key	shuffling	k-means(100M)	k-means(200M)
spark.serializer = KryoSerializer	160	890	22	36
spark.shuffle.manager = Hash	127	994	21	39
spark.shuffle.manager = Tungsten-sort	131	737	23	36
shuffle.memoryFraction = 0.4, storage.memoryFraction = 0.4	139	912	21	42
shuffle.memoryFraction = 0.1, storage.memoryFraction = 0.7	N/A	N/A	21	39
spark.reducer.maxSizeInFlight = 96mb	167	880	20	40
spark.reducer.maxSizeInFlight = 24mb	149	845	21	44
spark.shuffle.file.buffer = 64k	140	870	21	37
spark.shuffle.file.buffer = 16k	160	950	23	42
spark.shuffle.compress = false	380	2300	21	36
spark.io.compress.codec = lzf	159	882	20	37
<pre>spark.io.compress.codec = 1z4</pre>	152	1057	23	39
ppark.shuffle.consolidateFiles = true	139	905	21	40
spark.rdd.compress = false	167	810	21	38
spark.shuffle.io.preferDirectBufs = false	169	734	21	36
spark.shuffle.spill.compress = true	154	896	22	38

Table 1. Impact of parameters (in seconds)

the non-default value is tested. For parameters that have a variety of different values that are distinct, for instance the compression codec that will be used (snappy, lzf, lz4), all the different values are tested. Finally, for parameters that take numeric values in a wide range, e.g., spark.io.file.buffer, the values close to the default are tested. Each experiment was conducted five times (at least) and the median value is reported.

**Sort-by-Key experiments.** The setup of the *sort-by-key* experiments is as follows. 1 billion key-value pairs are used, and each key and value have a length of 10 and 90 bytes, respectively. Also there are both 1000000 unique values for both keys and values. The degree of partitioned is set to 640, which is the optimal configuration according to the results in [7].

We first assess the impact of spark.serializer. KryoSerializer performs significantly better than the default Java Serializer, yielding approximately 25% lower times. Since this gap is big, and in order to be able to extract insights regarding the performance of the rest of the parameters, the experiments that follow were conducted with the KryoSerializer. The impact of all the remaining parameters is summarized in Table 1 (2nd column). As baseline, we use the KryoSerializer performance, which is approximately 150 secs.

We see that both the non-default shuffle managers perform better than the default. Hash performs at 127 seconds and Tungsten-sort at 131 secs, nearly 30 secs faster than the default. Regarding the memory fraction parameters, the values for shuffle.memoryFraction and storage.memoryFraction both set to 0.4 provide a less significant speedup (139 secs). One would expect these parameters to have a bigger impact, given that *sort-by-key* is a shuffling-intensive application. Interestingly, the second test for these parameters, with values of 0.1 and 0.7, respectively, led to application crash. spark.reducer.maxSizeInFlight does not appear to be have a significant impact on performance. Increasing this parameter's value at 96mb yields the nearly same performance with the default (167 secs) but decreasing it to 24mb gives a small performance improvement (149 secs). A similar observation can be drawn for shuffle.file.buf-fer; here, increasing the value yields slightly better results (140 secs). The biggest impact on performance however can be seen in the shuffle.compression test runs. Disabling the compression degrades the performance by more than 100%. It is worth noting that this may not always be the case, since the behavior of this parameter heavily relies on network and hardware details. Regarding the compression codecs, there is not any noteworthy impact, since both *lzf* and *lz4* seem to be performing similarly to the default codec, *snappy*. Also, the file consolidation implementation does not appear to provide any significant improvement either. This could be attributed to a variety of reasons, one being the fact that the *sort-by-key* application does not generate a very high number of files during shuffling. The last three parameters, shuffle.spill.compress, shuffle.io.preferDirectBufs and rdd.compress do not seem to significantly affect the performance too. For the former, this can be attributed to the fact that the spills conducted are few. For Rdd.compress, there is a small performance degradation as expected, since the RDD can fit into the main memory and CPU time is unnecessarily spent for the compression.

**Shuffling experiments.** In this set of experiments, the cluster setting was kept as in the previous one. The raw dataset is 400GB. Since the RDDs occupy more space, and the overall available memory in the executors is approximately 400GB for all RDDs and shuffling, accesses to the local storage take place.

Again, we first test the impact of spark.serializer and compare it with the default performance. KryoSerializer performs approx. 10% better than the default Java serializer. In the experiments that follow, we use the performance of the KryoSerializer as the baseline performance, which is 815 secs. In Table 1 (3rd column), the results for the performance impact of the rest of the parameters are presented. Contrary to the previous experiments, the Hash shuffle manager performs worse resulting in performance degradation of 200 secs. This could probably be attributed to the fact that because the input much larger than the available memory for shuffling. On the other hand, the *Tungsten-sort* manager yields a speedup of 90 secs. Similarly to *sort-by-key*, increasing the memory available for shuffling at the expense of the storage.memoryFraction does not benefit the performance. Also, doing the opposite does not leave enough memory for shuffling and the application crashes. Regarding the reducer.maxSizeInFlight parameter, there seems to be no significant impact on the application. Changing this parameter seems to affect systems that have very small amounts of memory available. The same applies to shuffle.file.buffer parameter. The only difference however is that, when reducing the buffer size from 32KB to 15KB, the performance degrades by about 135 secs, which is more than 10% from the initial execution. This might happen for the following reason. The buffers reduce the number of disk seeks and system calls made during the creation of intermediate shuffle files. When the input is big enough and the buffers are relatively small, the number of system calls and disk seeks increases thus leading to performance degradation. In addition, disabling the shuffle compression offers no improvement and greatly increases completion time. Regarding the compression codec parameter, the *lzf* codec does not seem to have any impact on the overall performance. However, the  $lz_4$  codec increases the application completion time by about 200 secs, i.e., incurring 25% extra overhead. Finally, the last three parameters configuration produce runtimes near the default value as was the case in the sort-by-key experiments. As such, they considered as not having any significant

 Table 2. Average Parameter Impact

	Sort-by-key	Shuffling	K-Means	Average
spark.serializer	26.6 %	9.2%	$<\!5\%$	12.6%
shuffle/storage.memoryFraction	13.1%	11.9%	8.3%	11.3%
spark.reducer.maxSizeInFlight	5.5%	5.7%	11.5%	7.5%
spark.shuffle.file.buffer	6.3%	11.6%	6.9%	8.2%
spark.shuffle.compress	137.5%	182%	$<\!5\%$	107.2%
<pre>spark.io.compress.codec</pre>	<5%	18%	6.1%	8.9%
spark.shuffle.consolidateFiles	13%	11%	7.7%	10.5%
spark.rdd.compress	<5%	<5%	5%	<5%
spark.shuffle.io.preferDirectBufs	5.6%	9.9%	$<\!5\%$	5.9%
<pre>spark.shuffle.spill.compress</pre>	<5%	6.1%	$<\!5\%$	<5%

impact on the performance. As stated before though, this may be specific to the infrastructure used, and in other environments, they may behave differently.

**K-means experiments.** The final series of experiments tests the impact of the parameters on the performance of k-means. We experimented with two data inputs with 100M and 200M 100-dimensional records, respectively. The number of centers was 10 and the amount of iterations was fixed to 10 to allow for fair time measurements. In these cases, the impact of the KryoSerializer (chosen as default) is very small. The impact of all the other parameters is shown in Table 1. An initial observation is that the impact of all parameters is less significant than in the previous two applications. From the fourth column we can see that, in absolute times, the differences are at most 2 secs, which is less than 10%. This also applies, to a slightly less extent, to the fifth column as well, where the differences are up to 3 secs. However, although we conclude that the parameters selected do not affect the performance of the *k*-means benchmark significantly, we can note the fact that the parameter shuffle.compress that dramatically degraded the performance in the previous experiments, has no overall impact on the test k-means instances. This is actually expected, since it mostly affects the data shuffling part of the application, which plays a small, non-dominant role in k-means. The same reasoning, i.e., data shuffling is not prominent in k-means, explains the less impact of the other parameters, too.

Summary Statistics. In Table 2, the average impact of each parameter can be seen for each benchmark. All percentages refer to the mean deviation from the default runtime, regardless of whether the deviation is for the better or worse performance. The default is enabling the *KryoSerializer*, apart from when testing the impact of this serializer itself. The lowest quartile of the parameters in terms of the magnitude of their incurred average impact are disregarded in the following tuning methodology, so that the latter remains as simple as possible. We make an exception for spark.shuffle.spill.compress, as explained later.

# 5 The Proposed Tuning Methodology

Based on (i) the results of the previous section, (ii) the expert knowledge as summarized in Sec. 2 and (iii) our overall experience from running hundreds of experiments (not all are shown in this work), we derive an easily applicable tuning methodology. This methodology is presented in Fig. 1 in the form of a

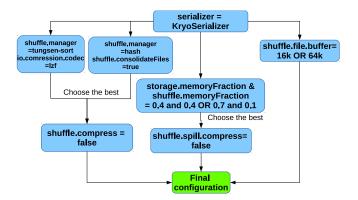


Fig. 1. Our proposed spark parameter tuning methodology

block diagram. In the figure, each node represents a *test run* with one or two different configurations. Test runs that are higher in the figure are expected to have a bigger impact on performance and, as a result, a higher priority. As such, runs start from the top and, if an individual configuration improves the performance, the configuration is kept and passed to its children replacing the default value for all the test runs on the same path branch. If an individual configuration does not improve the performance, then the configuration is not added and the default is kept. In other words, each parameter configuration is propagated downstream up to the final configuration as long as it yields performance improvements. Contrary to the previous experiments, the methodology test runs investigate the combined effect of tuning.

Overall, as shown in the figure, at most ten configurations need to be evaluated referring to nine of the parameters in Sec. 3. Note that, even if each parameter took only two values, exhaustively checking all combinations would result in  $2^9 = 512$  runs. Finally, the methodology can be employed in a less restrictive manner, where a configuration is chosen not only if it improves the performance, but if the improvement exceeds a threshold, e.g., 5% or 10%.

The rationale of the diagram blocks, itemized by the main parameter they target, is as follows:

- spark.serializer: This parameter had the highest impact in our series of experiments, and using the *KryoSerializer* was the default baseline for all the other parameters. We keep the same rationale in our methodology, so we perform this test first.
- spark.shuffle.manager: As shown in the results of the previous section, the shuffle manager has a high impact on performance, so it should be included in the methodology. Since, based on documentation, *tungsten-sort* works better with the *lzf* compression codec, we combine the test of these two settings. Also, the test run for the other option of this parameter, the *hash* shuffling manager, is conducted in combination with the implemen-

tation of consolidating files during a shuffle, to avoid problems from the creation of too many intermediate files.

- spark.shuffle.compress: In our parameters, disabling it led to serious performance degradation (by default it is enabled). This means that it has an impact. Interestingly, the best results presented by Spark's developers for the *terasort* benchmark are produced when this is disabled, which further supports our choice to include it in our methodology.
- storage/shuffle.memoryFraction: The memory fraction allocated is inherently important in Spark, due to its main memory-oriented execution model. However, this parameter is also tightly connected to the hardware characteristics of the cluster infrastructure.
- spark.shuffle.spill.compress: While this parameter appears not to have any significant impact on the performance in the experiments that we conducted, it is closely linked to the shuffling memory fraction. Since the latter is taken into consideration, we also include this one.
- spark.shuffle.file.buffer: In our experiments, the impact of this parameters is rather small. However, it is included for completeness. A shorter version of our methodology with two required runs less, would omit it.

We test the effectiveness of our methodology using three case studies. More specifically, the methodology is applied to the *sort-by-key*, *k-means* and *aggregate-by-key* benchmark applications, respectively. For the former, we keep the same input as previously. For *k-means*, we use a different data input, which leads to radically different performance than previously, i.e., this instance of *k-means* cannot be deemed as used for constructing our methodology. *Aggregate-by-key* is a new application.

**Sort-by-key.** For *Sort-by-key* over 1 billion 100-byte records, the default performance is 218 secs. We set a performance improvement threshold at 10% of this value, i.e., 21.8 secs. The final configuration: advocated by our methodology is spark.serializer= KryoSerializer and shuffle.manager= hash and shuffle.consolidateFiles= true and shuffle/storage.memoryFraction = 0.4/0.4: 120 secs. Overall, the running time in this case study, decreased from 218 secs down to 120 secs (44% performance improvement).

**K-Means.** Next, we apply the methodology on *K-Means* for 10 centers, 10 iterations, 100 million points and 500 columns. The runtime with the default configuration is 654 secs. The final configuration does not include the *KryoSerializer* and is as follows: shuffle/storage.memoryFraction = 0.1/0.7 and shuffle. spill.compress=false. Overall the running time dropped by more than 91%, from 654 to 54 secs. An interesting note is that in the profiling experiments in Section 4, *k-means* did not exhibit such high speedups. This is due to the fact that the performance of *k-means* is sensitive to its input dataset.

Aggregate-by-key. The running time for the default configuration is 77.5 secs. As input, we use 2 billion key-value pairs with length of 10 and 90, respectively. In this case study, we set the threshold to a lower values, at 5%. For *aggregate-by-key*, the overall performance improvement is about 21%, and

the configuration is shuffle.manager= hash and shuffle.consolidateFiles= true and shuffle/storage.memoryFraction = 0.1/0.7.

**Conclusions.** This work deals with configuring Spark applications in an efficient manner. We focus on 12 key application instance-specific configurable parameters and assess their impact using real runs on a petaflop supecomputer. Based on the results and the knowledge about the role of these parameters, we derive a trial-and-error methodology, which requires a very small number of experimental runs. We evaluate the effectiveness of our methodology using three case studies, and the results show that we can achieve up to more than a 10-fold speedup. Although our results are significant, further research is required to investigate additional infrastructures, benchmark applications, parameters and combinations.

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